

# Uncertainty and Synchronization

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CSA 2016

# Deterministic Finite Automaton

- DFA is a triple  $A = (Q, \Sigma, \delta)$ :
  - $Q$  ... finite set of *states*
  - $\Sigma$  ... finite set of *letters* (the *alphabet*)
  - $\delta$  ... total function  $Q \times \Sigma \rightarrow Q$  (*transition function*)
- Extended transition function:

$$\delta : 2^Q \times \Sigma^* \rightarrow 2^Q$$

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# Full Reset Words

- $w \in \Sigma^*$  is a *reset word* of  $A$  if

$$|\delta(Q, w)| = 1$$

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# Subset Reset Words

- $w \in \Sigma^*$  is a *reset word* of  $S \subseteq Q$  if

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- If  $S$  has some reset word, we call it *synchronizable*.

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# Bounds for Shortest Reset Words

$$n = |Q|$$

Full Synchronization

Upper  
Bounds

$$\mathcal{O}(n^3)$$

Lower  
Bounds

$$\Omega(n^2)$$

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	Full Synchronization	Subset Synchronization
Upper Bounds	$\mathcal{O}(n^3)$	
Lower Bounds	$\Omega(n^2)$	

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Upper Bounds	$\mathcal{O}(n^3)$	$2^{\mathcal{O}(n)}$
Lower Bounds	$\Omega(n^2)$	$2^{\Omega(n)}$

Synchronization in DFA  
○○○○●○○○

Classical Constructions  
○○○○○○

Recent Constructions  
○○○○○○○○

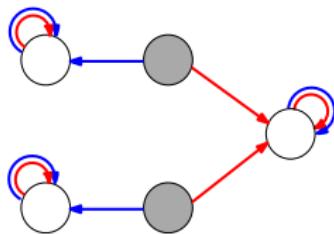
Avoiding  
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# What is wrong with subsets

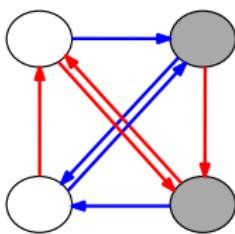
# What is wrong with subsets

Greedy strategies do not work!

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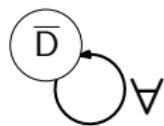
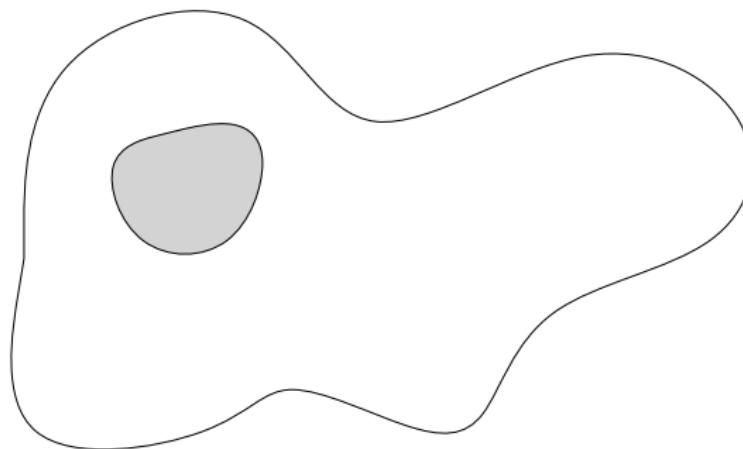
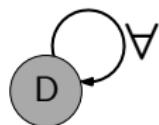


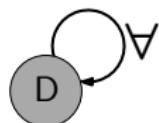
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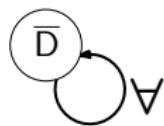
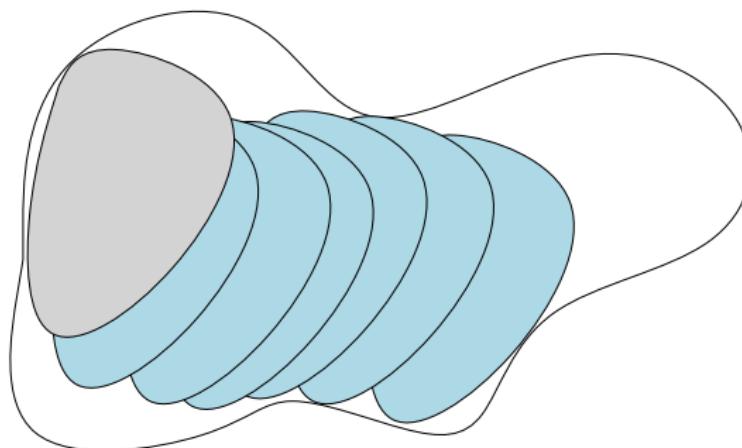
# Bounds for Shortest Reset Words

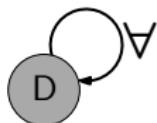
	Full Synchronization	Subset Synchronization
Upper Bounds	$\mathcal{O}(n^3)$	$2^{\mathcal{O}(n)}$
Lower Bounds	$\Omega(n^2)$	$2^{\Omega(n)}$

Proofs of the Lower Bound  $2^{\Omega(n)}$ 

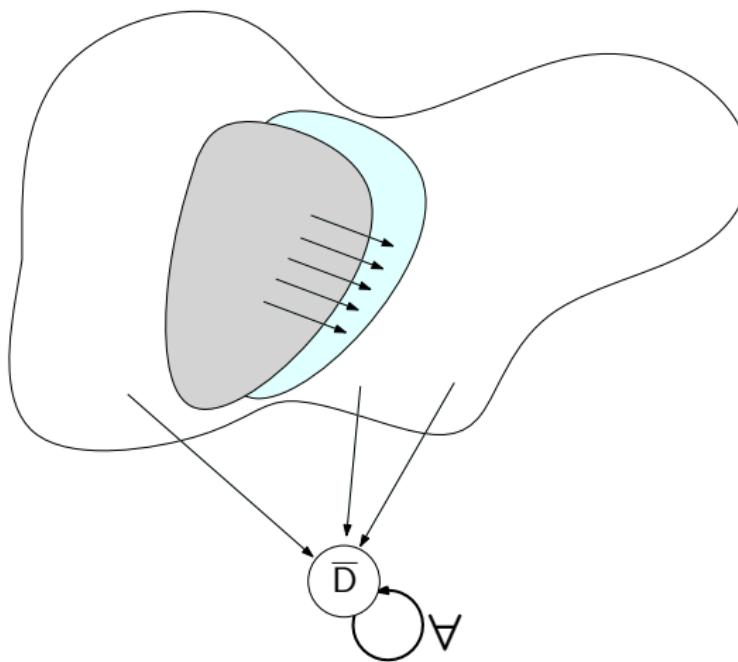
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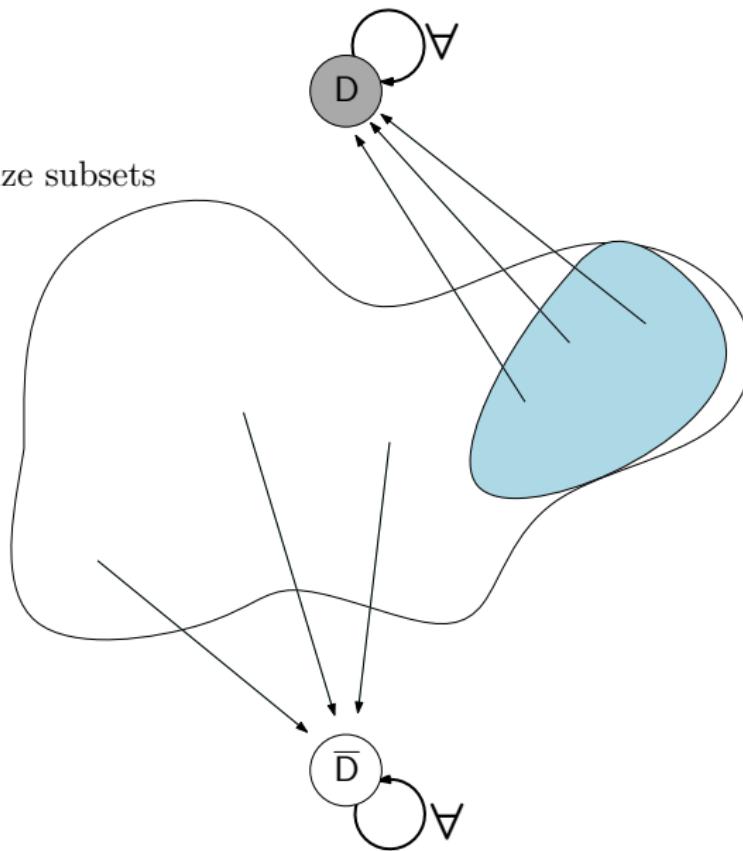
letters  $\equiv$  half-size subsets



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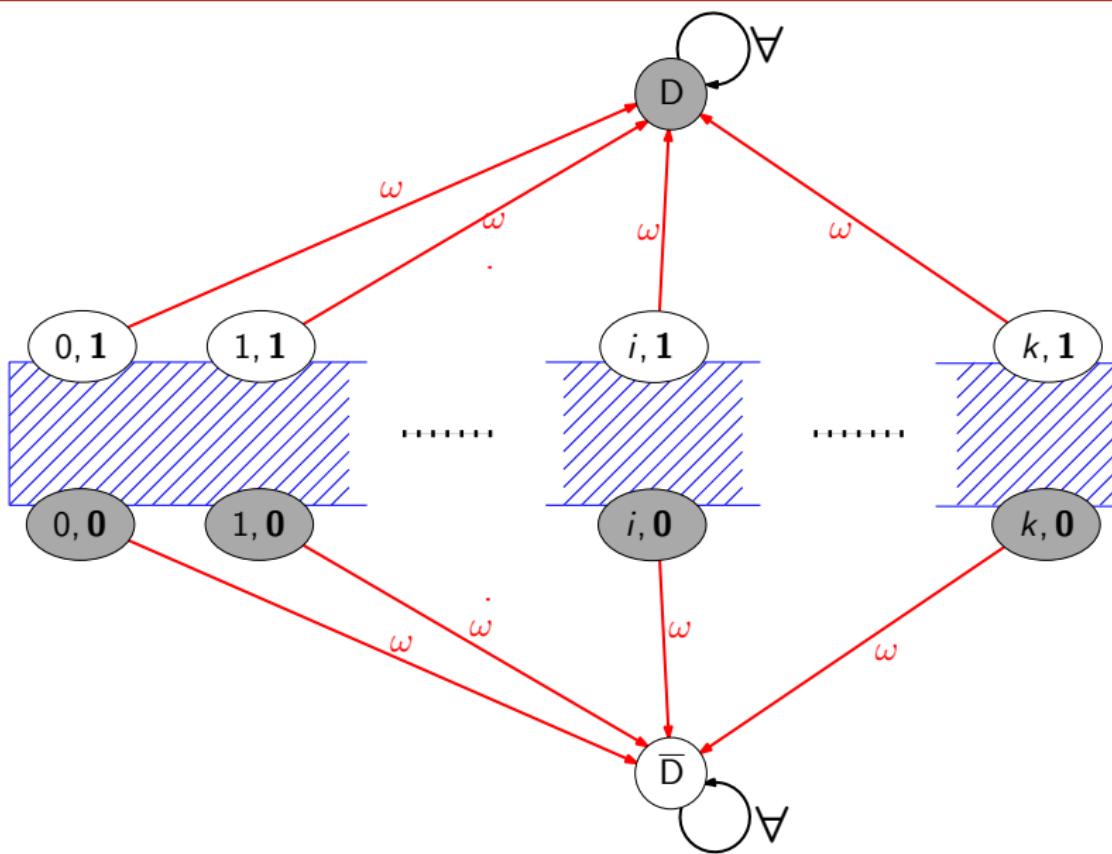
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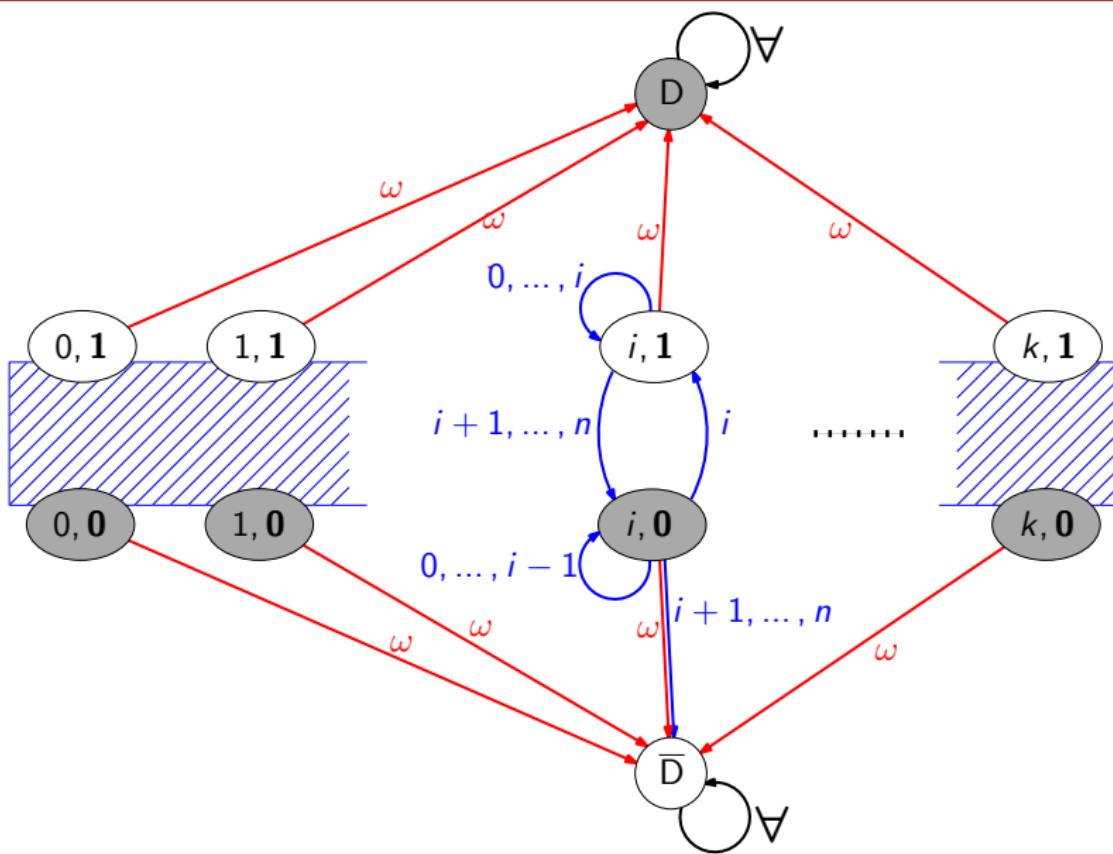


Proofs of the Lower Bound  $2^{\Omega(n)}$ letters  $\equiv$  half-size subsets

Proofs of the Lower Bound  $2^{\Omega(n)}$ 

	alphabet size	strong connectivity	min. length of reset words
Subset listing construction	$2^{\theta(n)}$	no	$2^{\theta(n)}$

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With constant-size alphabet:

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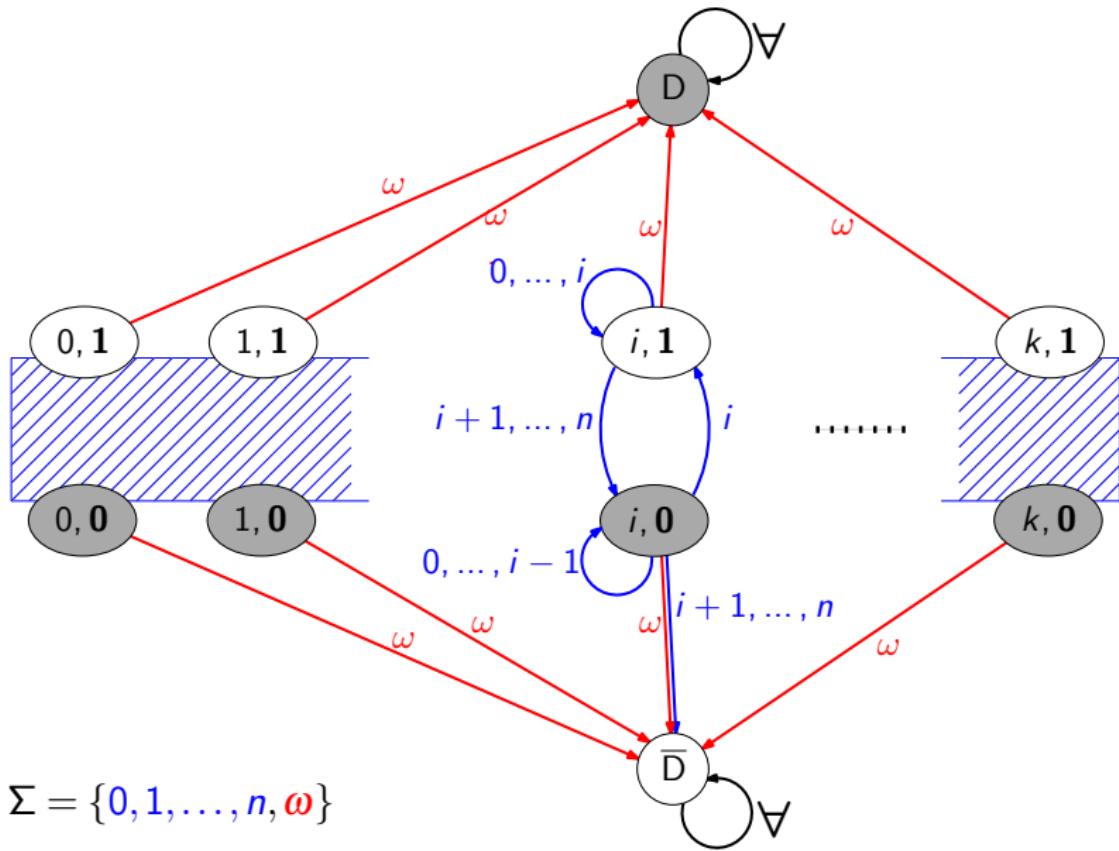
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Synchronization in DFA  
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Classical Constructions  
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Recent Constructions  
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Avoiding  
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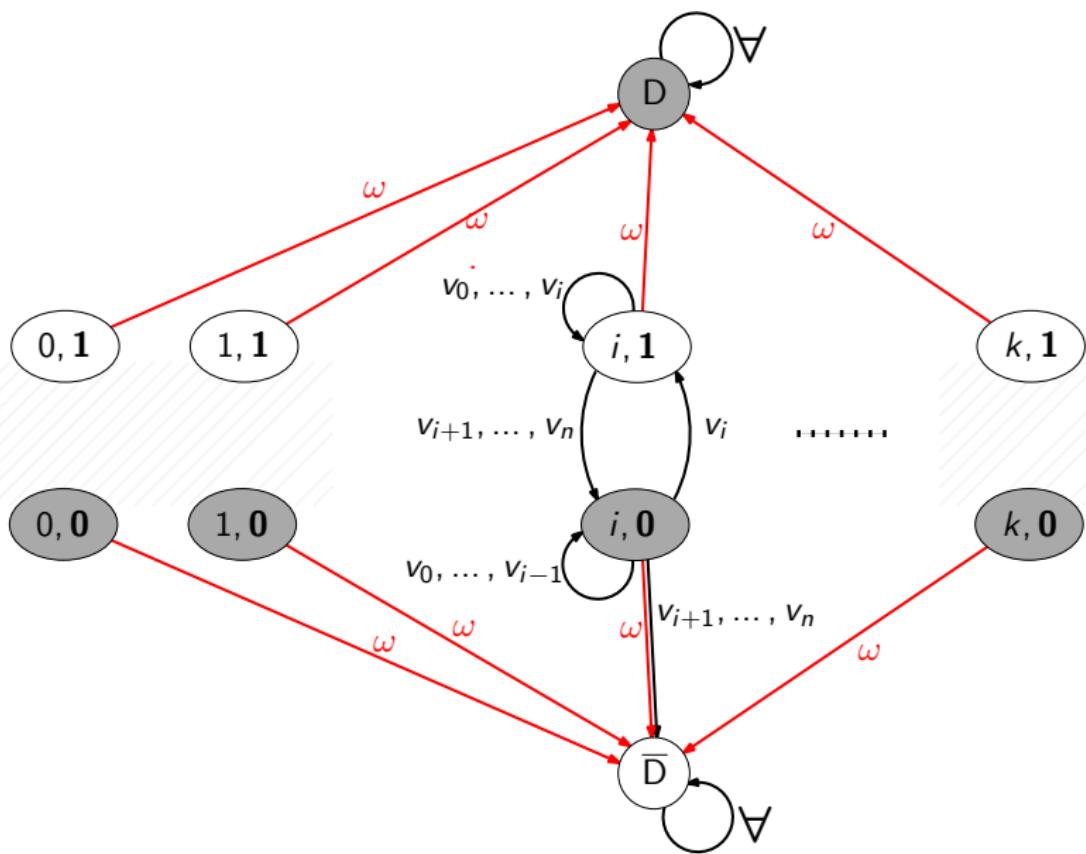


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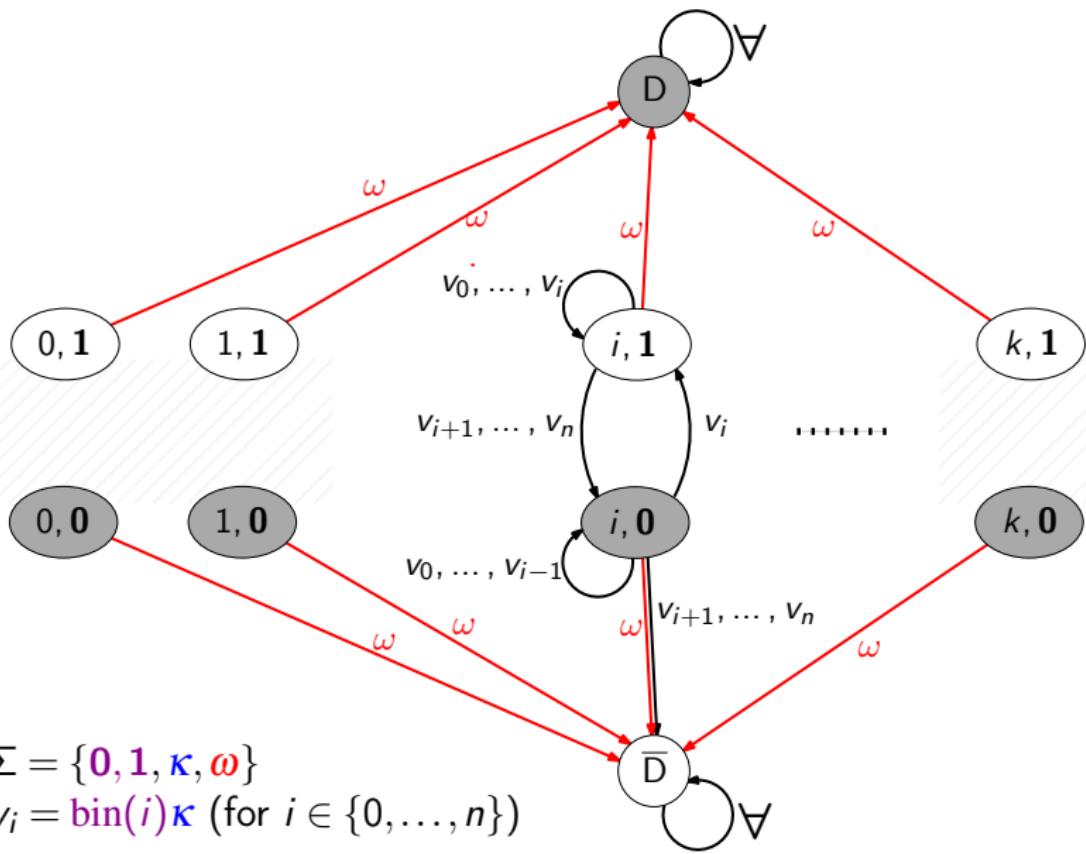
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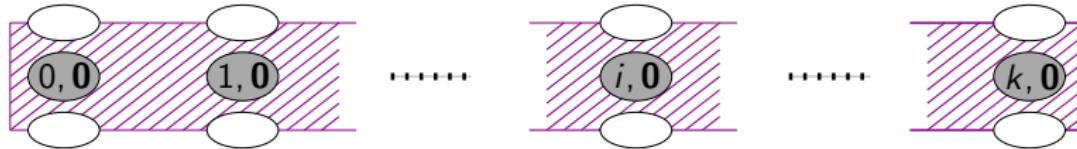
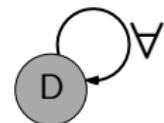


Synchronization in DFA  
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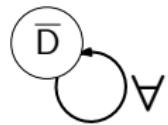
Classical Constructions  
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Recent Constructions  
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Avoiding  
○○○○



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$$v_i = \text{bin}(i)\kappa \text{ (for } i \in \{0, \dots, n\}\text{)}$$

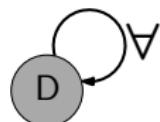


Synchronization in DFA  
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Classical Constructions  
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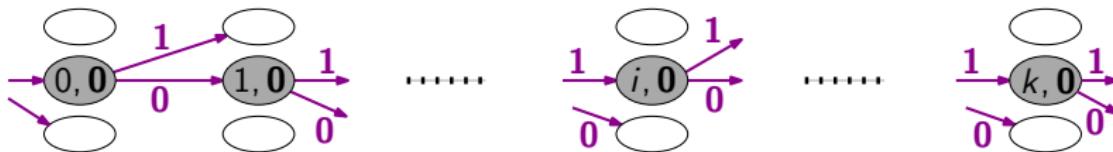
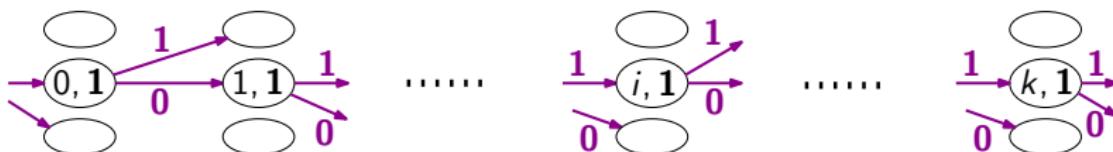
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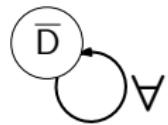


*De Bruijn sequence*

e.g. **0, 1, ..., 1, 0, ..., 1, 1**



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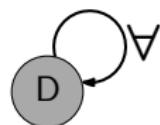


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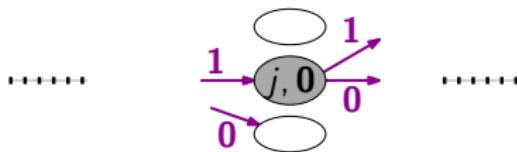
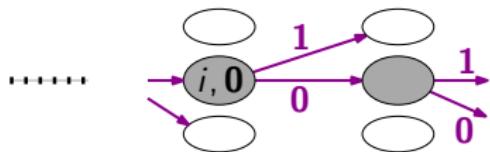
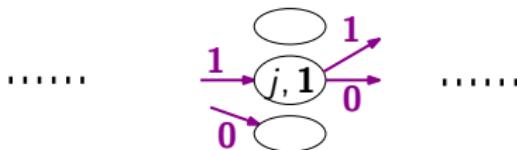
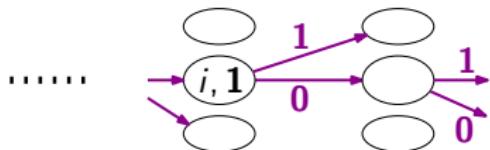
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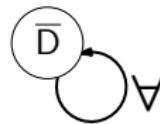
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$$j = i + \log n$$



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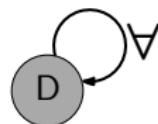


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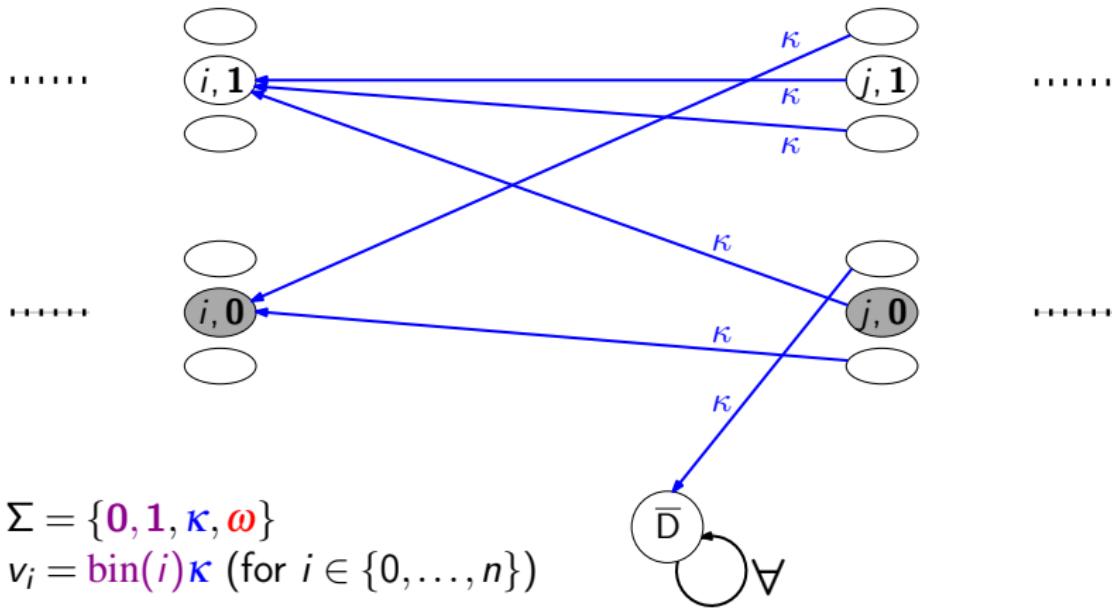
Classical Constructions  
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Recent Constructions  
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Avoiding  
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$$j = i + \log n$$

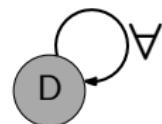


Synchronization in DFA  
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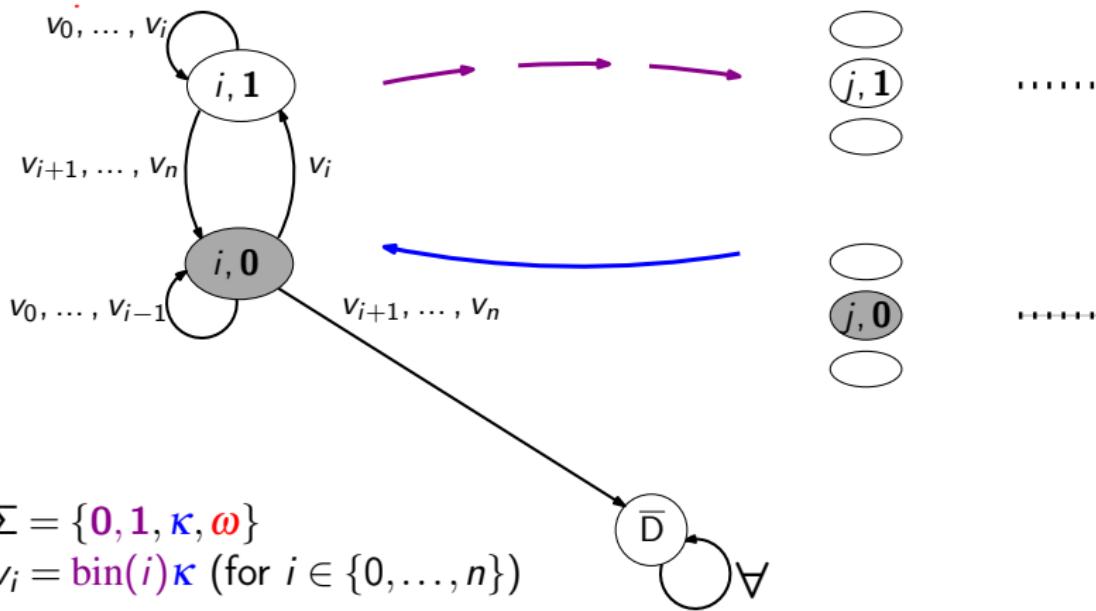
Classical Constructions  
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Recent Constructions  
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Avoiding  
○○○○



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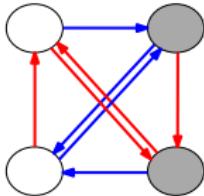


Proofs of the Lower Bound  $2^{\Omega(n)}$ 

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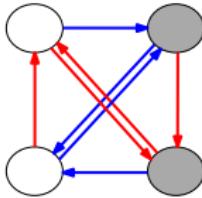
# Strong Connectivity

A general technique using *swap congruences*.



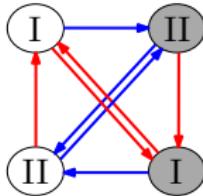
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Extended radix construction	2	no	$2^{\theta(\frac{n}{\log n})}$
New radix construction	2	no	$2^{\theta(n)}$
New radix constr. + swapping	2	yes	$2^{\theta(n)}$

# Avoiding Words

- $w \in \Sigma^*$  avoids  $q \in Q$  if

$$q \notin \delta(Q, w)$$

# Bounds for Shortest Avoiding Words

Synchronizing DFA		General DFA
Upper Bounds	$\mathcal{O}(n^3)$	$2^{\mathcal{O}(n)}$
Lower Bounds	$2n - 4$	$2n - 4$

# Avoiding $\rightarrow$ Short Full Reset Words

- Known upper bounds on shortest full reset words:

- $\frac{1}{3}n^3 + \mathcal{O}(n^2)$  (Kohavi, 1970)
- $\frac{1}{6}n^3 + \mathcal{O}(n^2)$  (Pin, 1983)
- $\frac{7}{48}n^3 + \mathcal{O}(n^2)$  if  $\mathcal{O}(n)$  for avoiding

Synchronization in DFA  
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Classical Constructions  
ooooooo

Recent Constructions  
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Avoiding  
ooo●

Thank you for your attention!